



PATENT  
Docket No. 24534-080000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of Braun et al.

Appln. No. 09/930,913

Filed: August 15, 2001

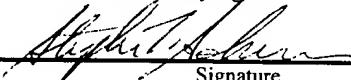
For: System and Method for Evaluating  
Real Estate Financing Structures

Examiner: unassigned

Group Art Unit: unassigned

Certificate of Mailing

I, hereby certify that this correspondence (along with any documents referred to as attached or enclosed) is being deposited with the US Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date of my signature.



Signature

10-31-01

Date of Signature

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**Box: Missing Parts**

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

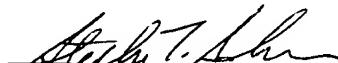
Applicant hereby responds to the Notice To File Missing Parts of Nonprovisional Application dated September 18, 2001, (copy enclosed) and provides the missing Combined Declaration and Powers of Attorney herewith. This application is on behalf of other than a small entity Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for other than a small entity in the amount of \$130.00, charge Account No. 13-0206 the sum of \$130.00. A duplicate of this transmittal is attached. Applicant believes that no extension of time is required.

If any additional fee is required in connection with this communication, charge Account No. 13-0206. A duplicate of this transmittal is attached.

Date: October 31, 2001

Respectfully submitted,

MCDERMOTT, WILL & EMERY  
227 West Monroe Street  
Chicago, Illinois 60606-5096  
tel. no.: (312) 372-2000  
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Stephen T. Scherrer, Reg. No. 45,080  
One of the Attorneys for Applicant(s)



# FEET TRANSMITTAL

Effective November 10, 1998  
Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT** \$130.00

Complete if Known	
Appn. Number	09/930,913
Filing Date	August 15, 2001
First Named Inventor	Braun et al.
Title	System and Method for Evaluating Real Estate...
Group Art Unit	unassigned
Examiner Name	unassigned
Attorney Docket No.	24534-080000

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account 13-0206

Deposit Account McDermott, Will & Emery  
Name

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

Check  Money  Other Order

## FEE CALCULATION (continued)

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#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	\$130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design Issue fee	
144	600	244	300	Plant Issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
Reduced by Basic Filing Fee Paid <b>SUBTOTAL (3)</b> \$130.00					

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL					

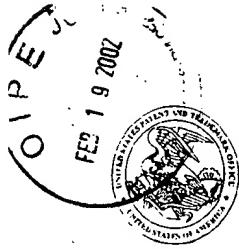
### 2. CLAIMS

Total Claims	Highest No. Paid For	Extra	Fee from below	Fee Paid
Independent Claims	-20 =	28	x 18 =	
Multiple Dependent Claims	-3 =	5	x 84 =	
			x	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL					

## SUBMITTED BY

Typed or Printed Name	Stephen T. Scherr	Complete (if applicable)
Signature		Reg. Number 45,080



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,913	08/15/2001	William J. Braun	24534-080000

### CONFIRMATION NO. 1702 FORMALITIES LETTER

Stephen T. Scherrer  
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31st Floor  
227 West Monroe Street  
Chicago, IL 60606

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\*OC000000006569681\*

Date Mailed: 09/18/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/25/2002 AOSMAN1 00000012 130208 09930913

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*A copy of this notice **MUST** be returned with the reply.*

*Sevani*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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